

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)		Attorney Docket No.	IN-5495
		First Named Inventor	Stephan SCHWARTE et al.
<input checked="" type="checkbox"/> Declaration Submitted with initial Filing or <input type="checkbox"/> Declaration Submitted after initial Filing (surcharge required) (37 CFR 1.16 (e))		COMPLETE IF KNOWN	
		Application Number	
		Filing Date	June 26, 2001
		Group Art Unit	
		Examiner Name	

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention **entitled**:**POLYURETHANE AND ITS USE IN AQUEOUS PAINTING PLASTIC**

(Title of the Invention)

The specification of which:

☒ is attached hereto
☐ Was filed on _____ as United States Application or PCT International Application Number _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application

I hereby claim foreign priority benefits under 35, U.S.C § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365 (a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate, or any PCT international application(s) having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date DATE/MONTH/YEAR	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
199 04 170.9	Germany	3. February 1999	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
199 04 624.7	Germany	5. February 1999	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional foreign application number are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C 119(e) of any United States provisional application(s) listed below:

APPLICATION NUMBER(S)	FILING DATE	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto

☒ Customer Number or Bar Code Label

26922

PATENT TRADEMARK OFFICE

or ☐ Correspondence address below

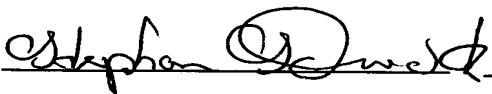
Combined Declaration For Patent Application and Power of Attorney (Continued)				Practitioner's Docket No. IN-5495	
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (List name and registration number).					
Anne Gerry Sabourin	Registration No.	<u>33,772</u>	Anna M. Budde	Registration No.	<u>35,085</u>
Mary E. Golota	Registration No.	<u>36,814</u>	Michael P. Brennan	Registration No.	<u>30,612</u>
Fernando A. Borrego	Registration No.	<u>34,780</u>	Brian Stegman	Registration No.	<u>30,977</u>
Karen M. Dellerman	Registration No.	<u>33,592</u>	David Banchik	Registration No.	<u>36,439</u>
Barbara Maurer	Registration No.	<u>31,278</u>	Mark Westhafer	Registration No.	<u>42,220</u>
Charles Costello	Registration No.	<u>27,324</u>	David LaPrairie	Registration No.	<u>46,295</u>
Michael F. Morgan	Registration No.	<u>42,906</u>			

Send Correspondence to:	Direct Telephone Calls to:	Direct Telephone Calls to:
BASF CORPORATION, PATENT DEPARTMENT 26701 TELEGRAPH ROAD SOUTHFIELD, MI 48034-2442	(name and telephone number) <u>Anne Gerry Sabourin</u> (248) 948-2021 Fax (248) 948-2093	(name and telephone number) or <u>Mary E. Golota</u> (248) 948-2020 Fax (248) 948-2093

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

FULL NAME OF INVENTOR	FAMILY NAME <u>SCHWARTE</u>	FIRST GIVEN NAME Stephan	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY <u>D-48282 Emsdetten</u>	STATE OR FOREIGN COUNTRY Germany <u>DEX</u>	COUNTRY OF CITIZENSHIP German
POST OFFICE ADDRESS	POST OFFICE ADDRESS Kupfergraben 13	CITY D-48282 Emsdetten	STATE & ZIP CODE/COUNTRY Federal Republic of Germany
Stephan SCHWARTE			
		<u>11. Juni 2001</u>	
SIGNATURE OF INVENTOR 201		TYPED NAME	
		DATE	

U.S. DEPARTMENT OF COMMERCE-Patent and Trademark Office

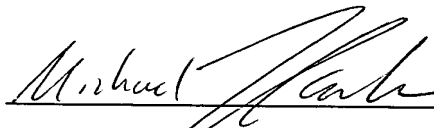
Combined Declaration For Patent Application and Power of Attorney (Continued)				Practitioner's Docket No. IN-5495		
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (List name and registration number).						
13	Anne Gerry Sabourin	Registration No.	<u>33,772</u>	Anna M. Budde	Registration No.	<u>35,085</u>
	Mary E. Golota	Registration No.	<u>36,814</u>	Michael P. Brennan	Registration No.	<u>30,612</u>
	F r nando A. Borrego	Registration No.	<u>34,780</u>	Brian Stegman	Registration No.	<u>30,977</u>
	Karen M. Dellerman	Registration No.	<u>33,592</u>	David Banchik	Registration No.	<u>36,439</u>
	Barbara Maurer	Registration No.	<u>31,278</u>	Mark Westhafer	Registration No.	<u>42,220</u>
	Charles Costello	Registration No.	<u>27,324</u>	David LaPrairie	Registration No.	<u>46,295</u>
	Michael F. Morgan	Registration No.	<u>42,906</u>			

Send Correspondence to:	Direct Telephone Calls to:	Direct Telephone Calls to:
<u>BASE CORPORATION</u> <u>PATENT DEPARTMENT</u> <u>26701 TELEGRAPH ROAD</u> <u>SOUTHFIELD, MI 48034-2442</u>	(name and telephone number) <u>Anne Gerry Sabourin</u> (248) 948-2021 Fax (248) 948-2093	or (name and telephone number) <u>Mary E. Golota</u> (248) 948-2020 Fax (248) 948-2093

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application for any patent issued thereon.

SIGNATURE(S)

2	FULL NAME OF INVENTOR	FAMILY NAME <u>HARTUNG</u>	FIRST GIVEN NAME <u>Michael</u>	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY <u>D-59590 Geseke</u>	STATE OR FOREIGN COUNTRY <u>Germany</u> <u>DEX</u>	COUNTRY OF CITIZENSHIP <u>German</u>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <u>Lintorfer Str. 10</u>	CITY <u>D-59590 Geseke</u>	STATE & ZIP CODE/COUNTRY <u>Federal Republic of Germany</u>
<div style="display: flex; justify-content: space-between; align-items: center;"> <div>  SIGNATURE OF INVENTOR 201 </div> <div> <u>Michael HARTUNG</u> TYPED NAME </div> <div> DATE </div> </div>				

IN-5495

Practitioner's Docket No.

Patent application

Stephan SCHWARTE and Michael HARTUNG
of

inventor(s)

POLYURETHANE AND ITS USE IN AQUEOUS PAINTING PLASTIC
for

Title of invention

**AUTHORIZATION OF ATTORNEY TO ADD FILING PARTICULAR
TO ASSIGNMENT DOCUMENT**

NOTE: See Notice of June 24, 1992. Fed. Reg. 29634--29648, at 29638.

I, an inventor signing below, hereby authorize and request my attorney
Name of attorney(s): Anne Gerry SABOURIN, Mary E. GOLOTA and Michael F. MORGAN

Address: BASF Corporation
Patent Department
26701 Telegraph Road
Southfield, Michigan 48034-2442

to insert the application number and the filing date, when known, into the assignment for
this invention that I executed on

Date 18.05.2001


Stephan Schwarte
(Type name of inventor)

Signature 

to insert the application number and the filing date, when known, into the assignment for
this invention that I executed on

Date 22.05.2001

Michael Hartung
(Type name of inventor)

Signature 

Page 1 of 1

(Authorization of Attorney to Add Filing Particular to Assignment Document [16-17])

PATENT

(Practitioner's Docket No. IN-5495)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Stephan SCHWARTE
Michael HARTUNG

Serial No.: This application is a National
Phase of Patent Application
PCT/EP00/00779 filed 1 February 2000.

Group Art Unit: Not Assigned

Filed: June 26, 2001

Examiner: Not Assigned

For: POLYURETHANE AND ITS USE IN AQUEOUS PAINTING PLASTIC

Patent*: Issue Date

Reexamination No.: Issue Date

Reissue: Issue Date

*NOTE: Insert name(s) of inventor(s) and title for patent.

Assistant Commissioner for Patents
Washington, D.C. 20.231

**STATEMENT UNDER 37 C.F.R. § 3.73(b)-
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner
for Patents, Washington, D.C. 20231

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10*

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"
Mailing Label No: **EF325208526** (mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: June 26, 2001


Signature
Marjorie Ellis

(type or print name of person certifying)

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]-page1)

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

IDENTIFICATION OF ASSIGNEE

BASF CORPORATION

2. _____
Name of assignee
CORPORATION

Type of assignee, e.g., corporation, partnership, university, government agency, etc.

PERSON(s) AUTHORIZED TO SIGN

3. Michael F. Morgan
(type name of person authorized to sign on behalf of assignee)
Attorney
Title of person authorized to sign

(complete the following, if applicable)

☒ I, WE, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

A.

1. ☐ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at

Reel _____, Frame _____

2. ☒ An assignment (document) separately being submitted for recordal herewith.

AND/OR

B.

1. ☐ A chain of title from the inventor(s) to the current assignee as shown below:

1. From: _____
Name of inventor(s)

To: _____

Recorded in PTO:
Reel _____ Frame _____

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]-page 2)

(check item below, and add details, if applicable)

☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

COPIES OF DOCUMENTS IN CHAIN OF TITLE

(complete this item, if copies are being sent)

☐ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

☐ A

☐ 1

☐ 2

☐ B

☐ 1.

☐ 2

☐ 3.

Reg. No.: 42,906



SIGNATURE OF ATTORNEY

Michael F. Morgan

Type or print name of attorney

Tel. No.: (248) 948-2355

BASF Corporation
26701 Telegraph Road

P.O. Address

Southfield, Michigan 48034-2442

Customer No. 26922